

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450, on the below date of deposit.

Date of Deposit:	06/29/04	Name of Person Making the Deposit:	SAVANAH MENDOZA	Signature of the Person Making the Deposit:	<i>Savannah Mendoza</i>
------------------	----------	------------------------------------	-----------------	---	-------------------------

In re Application of: Teruyoshi Komuro, Yoshitomo Osawa, Hisato Shima, and Tomoyuki Asano

Application No.: 09/664,992

Examiner: TRUONG, Thanhnga B

Filed: 09/18/00

Art Unit: 2135

Confirmation No.: 5771

For: A METHOD AND SYSTEM FOR TRANSFERRING INFORMATION USING AN ENCRYPTION MODE INDICATOR

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED**JUL 13 2004****AMENDMENT TRANSMITTAL****Technology Center 2100**

1. Transmitted herewith is an amendment for this application

☒ Transmitted herewith is a response to an office action for the above identified patent application.
(11 sheets)

☒ Transmitted herewith are 10 sheets of formal drawings.

☒ Other: Terminal Disclaimer 5 Sheets.

2. Applicant is other than a small entity

Extension of Term

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(a) ☐ Applicant petitions for an extension of time under 37 C.F.R. 1.136
(fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

<u>Extension</u>	<u>Fee</u>
<input type="checkbox"/> one month	\$110.00
<input type="checkbox"/> two months	\$420.00
<input type="checkbox"/> three months	\$950.00
<input type="checkbox"/> four months	\$1,480.00

Fee \$

If an additional extension of time is required, please consider this a petition therefor.

(b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

Fee Calculation

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

(for other than a small entity)					
Fee Items	Claims Remaining After Amendment	Highest Number of Claims Previously Paid For	Present Extra Claims	Fee Rate	Total
Total Claims	20	- 20 =	0	x \$18.00	\$0.00
Independent Claims	3	- 3 =	0	x \$86.00	\$0.00
Multiple Dependent Claim Fee (one or more, first added by this amendment)				\$290.00	\$0.00
Total Fees					\$0.00

PAYMENT OF FEES

5. The full fee due in connection with this communication is provided as follows:
- [x] The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085.
A duplicate copy of this authorization is enclosed.
- [] A check in the amount of \$
- [] Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:

WAGNER, MURABITO & HAO LLP
Two North Market Street, Third Floor
San Jose, California 95113
(408) 938-9060
Customer No: 000041066

Respectfully submitted,

Date: 29 June 2004

By: 

Matthew J. Blecher
Reg. No. 46,558



RECEIVED

JUL 13 2004

Technology Center 2100

CERTIFICATE UNDER 37 CFR 3.73(b)

Applicant: Komuro et al.

Application No.: 09/664,992 Filed: September 18, 2000

For: A METHOD AND SYSTEM FOR TRANSFERRING INFORMATION USING AN ENCRYPTION MODE INDICATOR

SONY Corporation and SONY Electronics, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 011504, Frame 0311, or for which a copy thereof is attached.
- B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current Assignee as shown below:
1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
 2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
 3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the Assignee identified above.

Terminal Disclaimer
Examiner: Truong, Thanhnga B.

-4-

Application No. 09/664,992
Group Art Unit: 2135

The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name: Matthew J. Blecher

Title: Attorney

Signature: 

Date: 29 June 2004